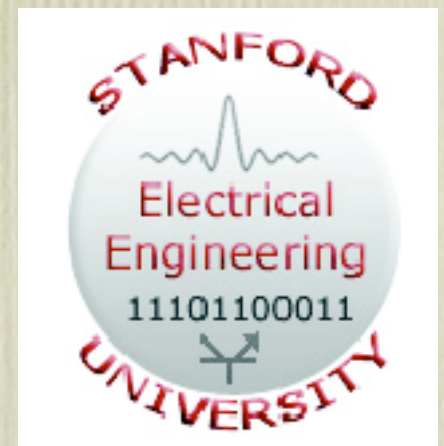
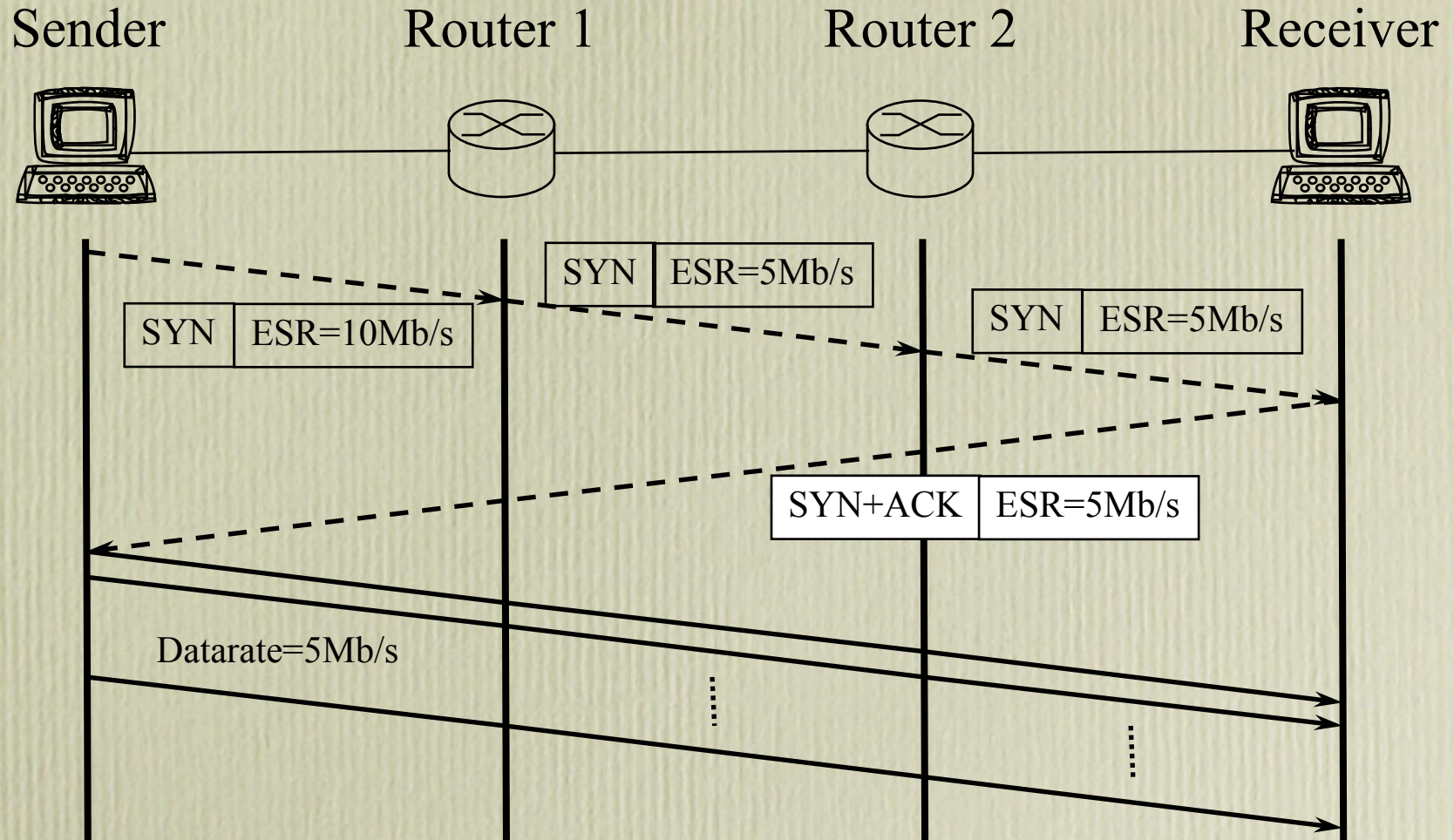


Stability Analysis of RCP for Long-lived Flows

Nandita Dukkipati, Nick McKeown



Rate Control Protocol (RCP)



RCP Equation

$$R(t) = R(t - d_0) + \frac{\alpha(C - y(t)) - \beta \frac{q(t)}{d(t)}}{N}$$

$$d(t) = d_0 + \frac{q(t)}{C}$$

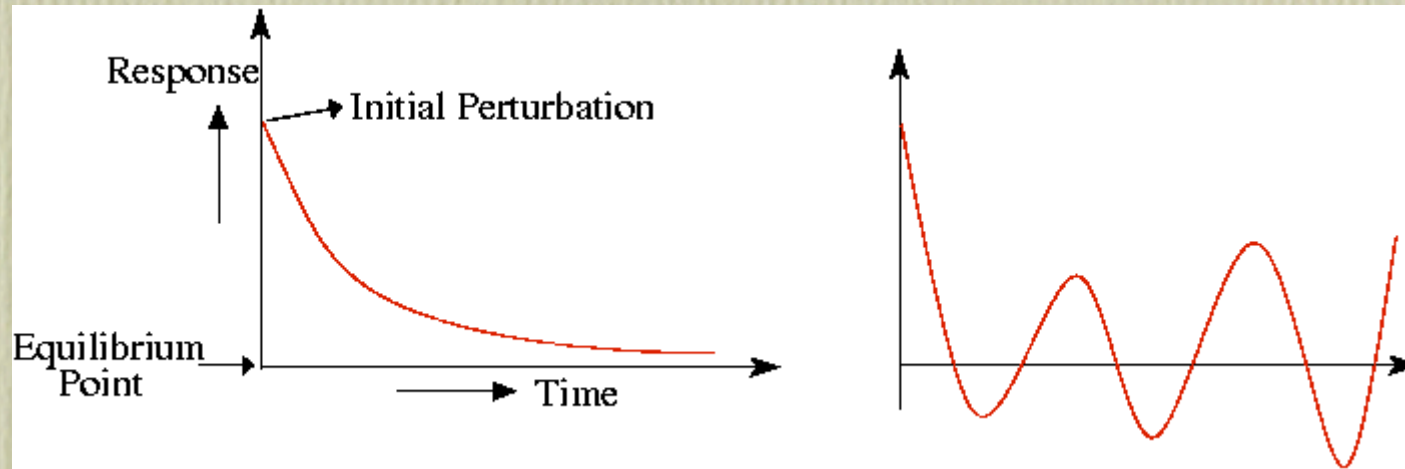
$$N = \frac{C}{R(t - d)}$$

$$R(t) = R(t - d_0) \left[1 + \frac{\alpha(C - y(t)) - \beta \frac{q(t)}{d(t)}}{C} \right]$$

$$R(t) = R(t - T) \left[1 + \frac{\frac{T}{d(t)} [\alpha(C - y(t)) - \beta \frac{q(t)}{d(t)}]}{C} \right]$$

Stability

What is a stable system?



Goal of RCP Stability Analysis

determine α , β

dependence on N , C , d

General Methodology of Analysis

- System description
- Find equilibrium point
- Linearize around equilibrium point
- Transfer function of the system
- Frequency response methods
 - Bode plots
 - Nyquist criterion

RCP System Equations

$$\dot{R}(t) = R(t - T) \left[\frac{\alpha(C - y(t)) - \beta \frac{q(t)}{d(t)}}{Cd(t)} \right]$$

$$d(t) = d_0 + \frac{q(t)}{C}$$

$$\dot{q}(t) = \begin{cases} [y(t) - C] & \text{if } q(t) > 0 \\ [y(t) - C]^+ & \text{if } q(t) = 0 \end{cases}$$

$$y(t) = N \times R(t - d)$$

Equilibrium Point and Linearization

Equilibrium

$$\dot{R}(t) = 0; \quad \dot{q}(t) = 0$$

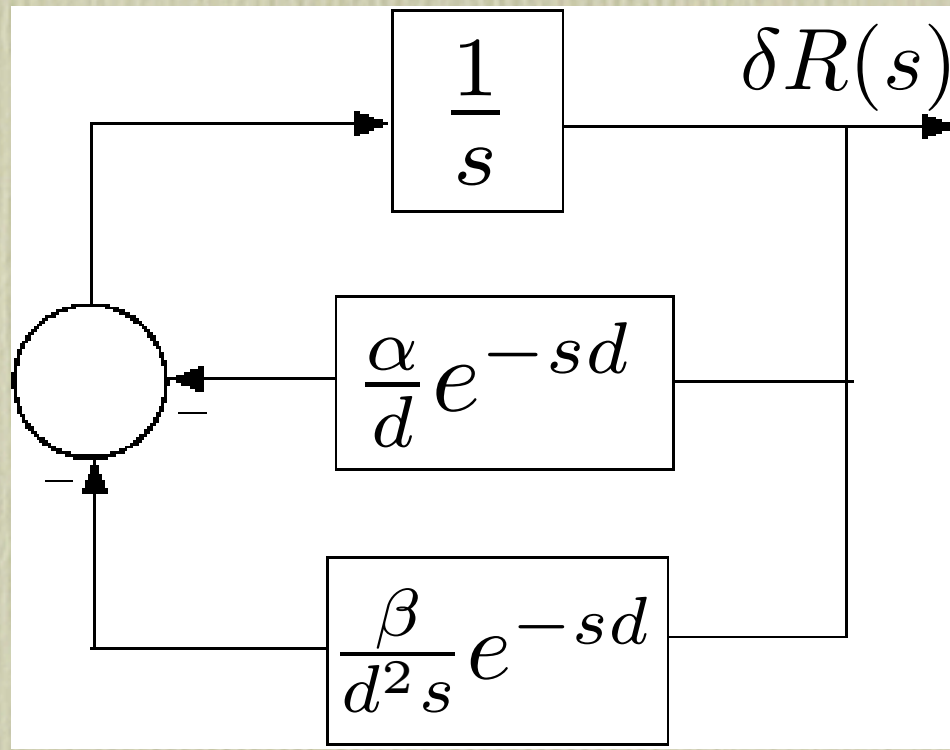
$$(R^*, q^*) = \left(\frac{C}{N}, 0\right)$$

Linearization

$$\delta \dot{q}(t) = N \times \delta R(t - d)$$

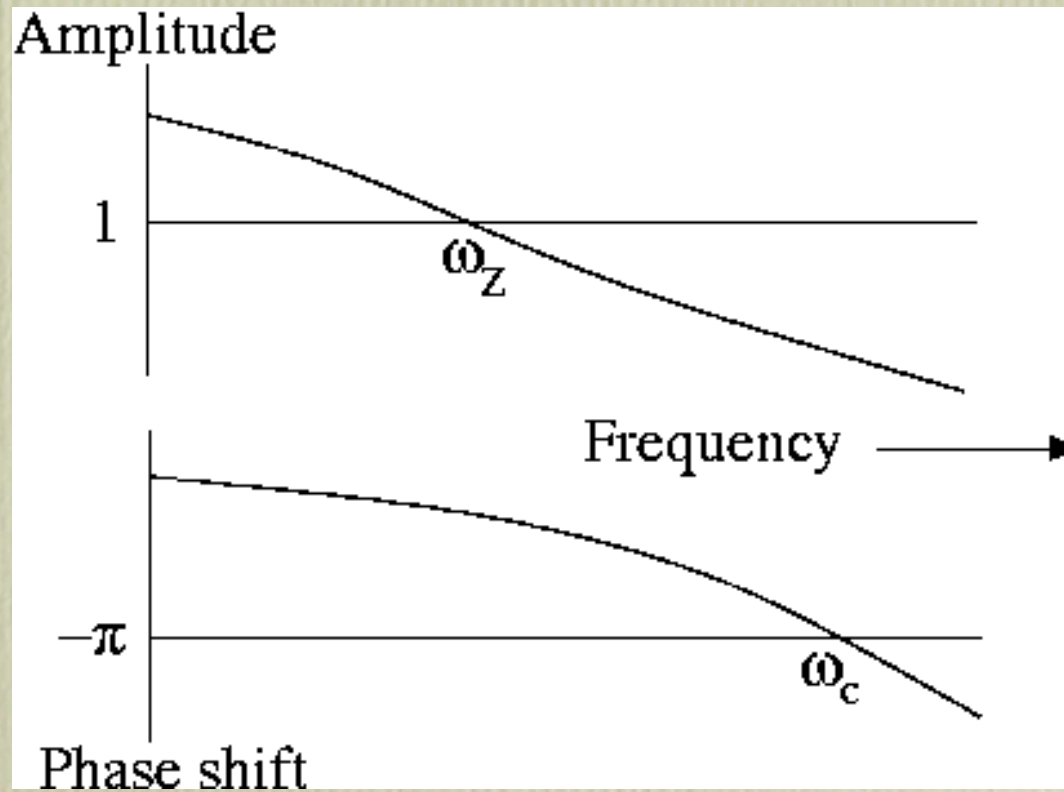
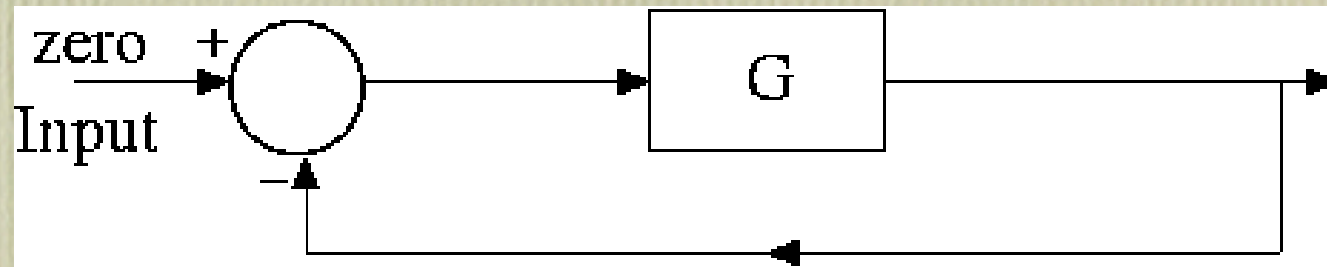
$$\delta \dot{R}(t) = -\frac{\alpha}{d} \delta R(t - d) - \frac{\beta}{Nd^2} \delta q(t)$$

Open Loop Transfer Function



$$G(s) = \frac{e^{-sd}(\alpha sd + \beta)}{s^2 d^2}$$

Bode Plots: Review

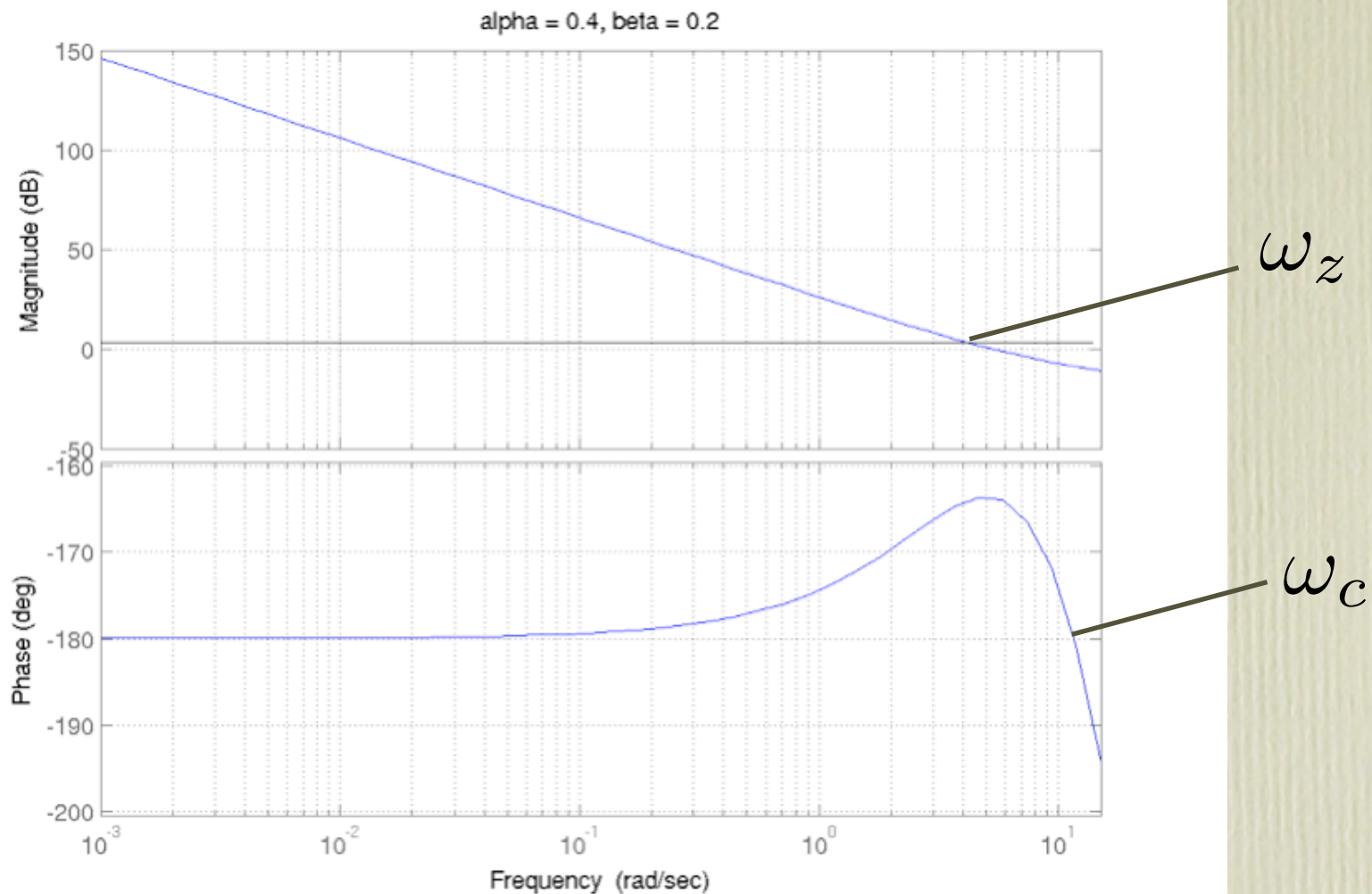


Stability: $\omega_z < \omega_c$

Bode Plot: RCP

$$|G(j\omega)| = \left| \frac{e^{-j\omega d}(\beta + j\alpha d\omega)}{(j\omega)^2 d^2} \right|$$

$$\angle G(j\omega) = -\omega d + \tan^{-1}\left(\frac{\omega\alpha d}{\beta}\right) - \pi$$



Stability Region (α, β)

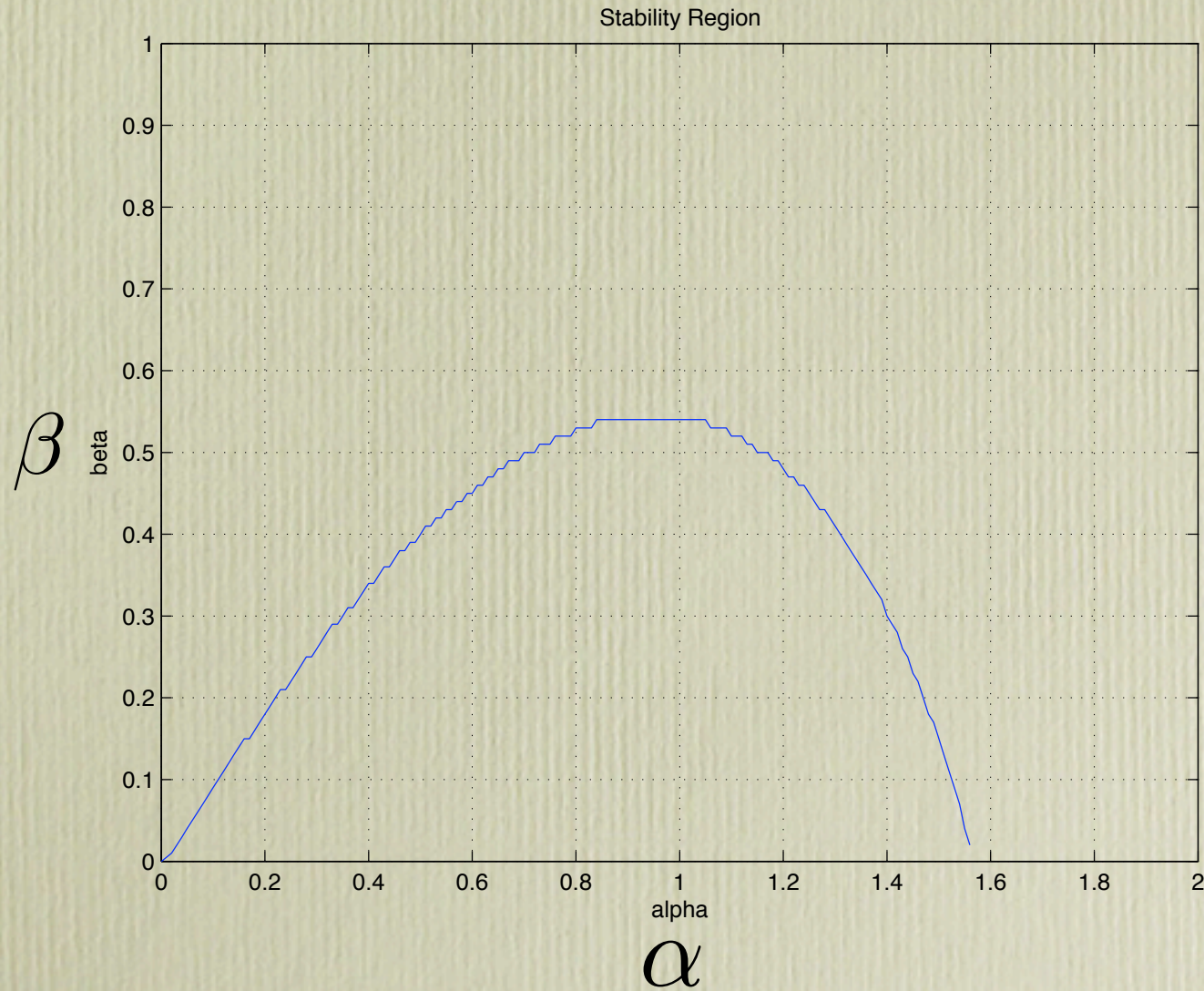
$$|G(j\omega)| = 1 \implies \omega_z = \frac{1}{d} \sqrt{\frac{\alpha^2 + \sqrt{\alpha^4 + 4\beta^2}}{2}}$$

$$\angle G(j\omega) = -\pi \implies \omega_c = \frac{\beta}{\alpha d} \tan(\omega_c d); \frac{\alpha}{\beta} > 1$$

For stability: $\omega_z < \omega_c$

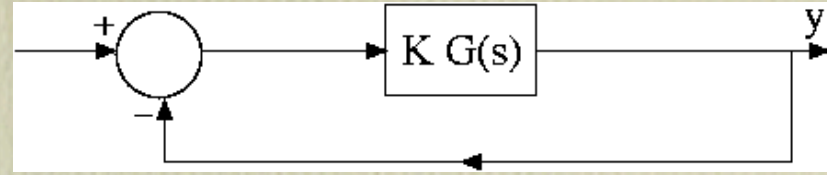
Stability Region (α, β)

Independent of N, C, d



Nyquist Stability Criterion: Review

Plot $KG(s)$; $-j\infty \leq s \leq +j\infty$

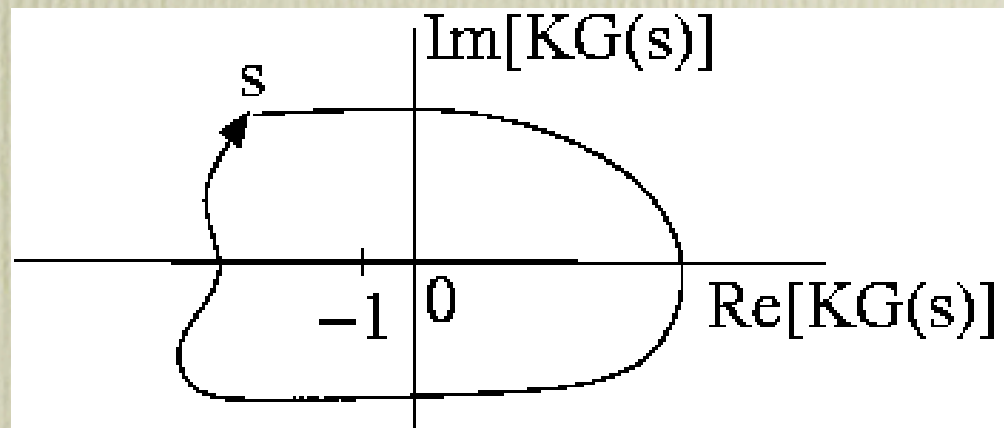


N = # clockwise/anti-clockwise encirclements of -1

P = # unstable poles of $G(s)$

Z = # unstable closed loop poles = $N+P$

For stability: $Z = 0$



Nyquist Stability Criterion: RCP

$$KG(s) = \alpha \frac{e^{-sd} sd}{s^2 d^2 + \beta e^{-sd}}$$

Pade approximation for e^{-sd}

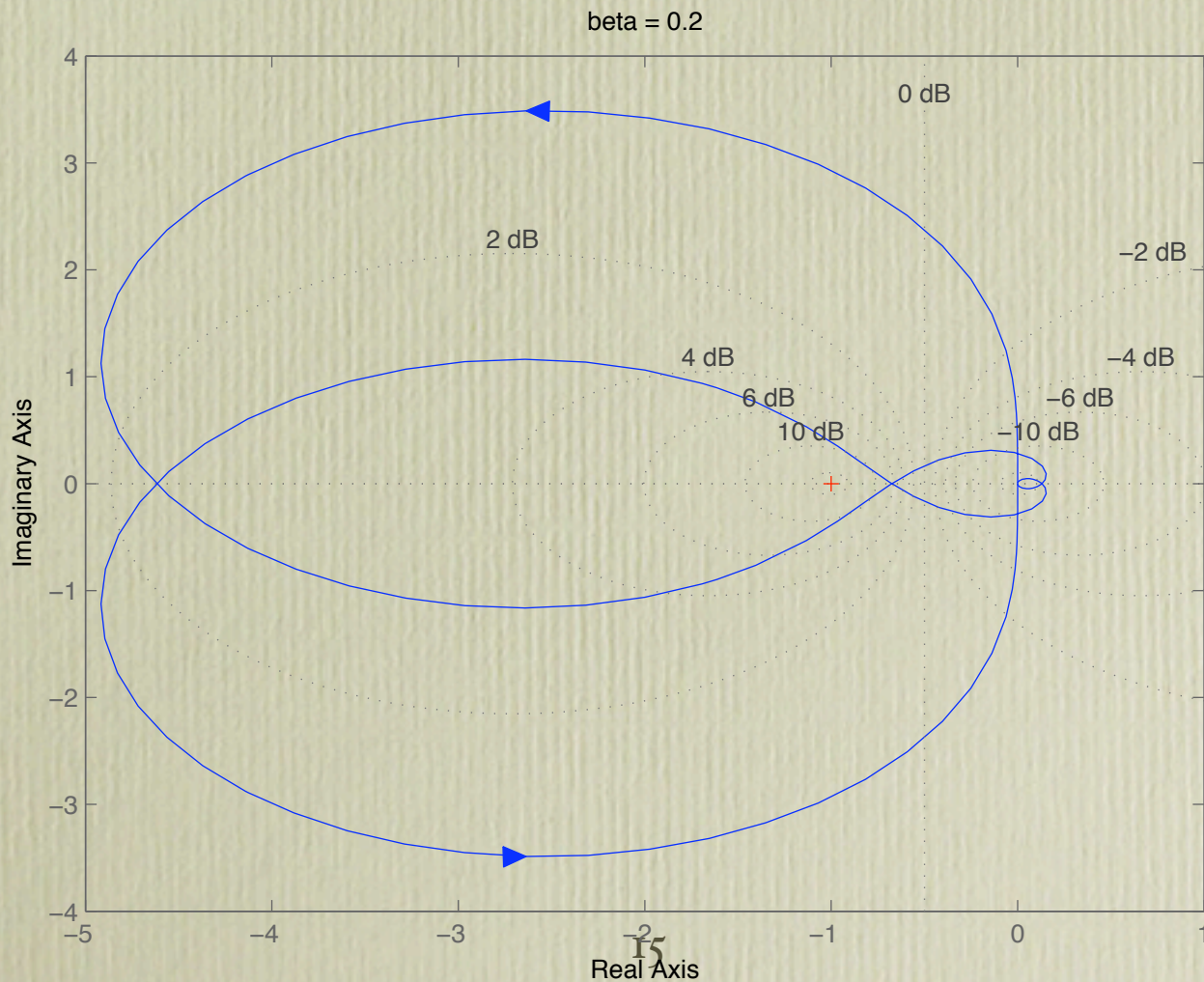
$$KG(s) = \alpha \frac{\frac{d^3}{12} s^3 - \frac{d^2}{2} s^2 + ds}{\frac{d^4}{12} s^4 + \frac{d^3}{2} s^3 + (d^2 + \beta \frac{d^2}{12}) s^2 - \frac{d\beta}{2} s + \beta}$$

Nyquist Stability Criterion: RCP

$$\beta = 0.2, P = 2, N = -2$$

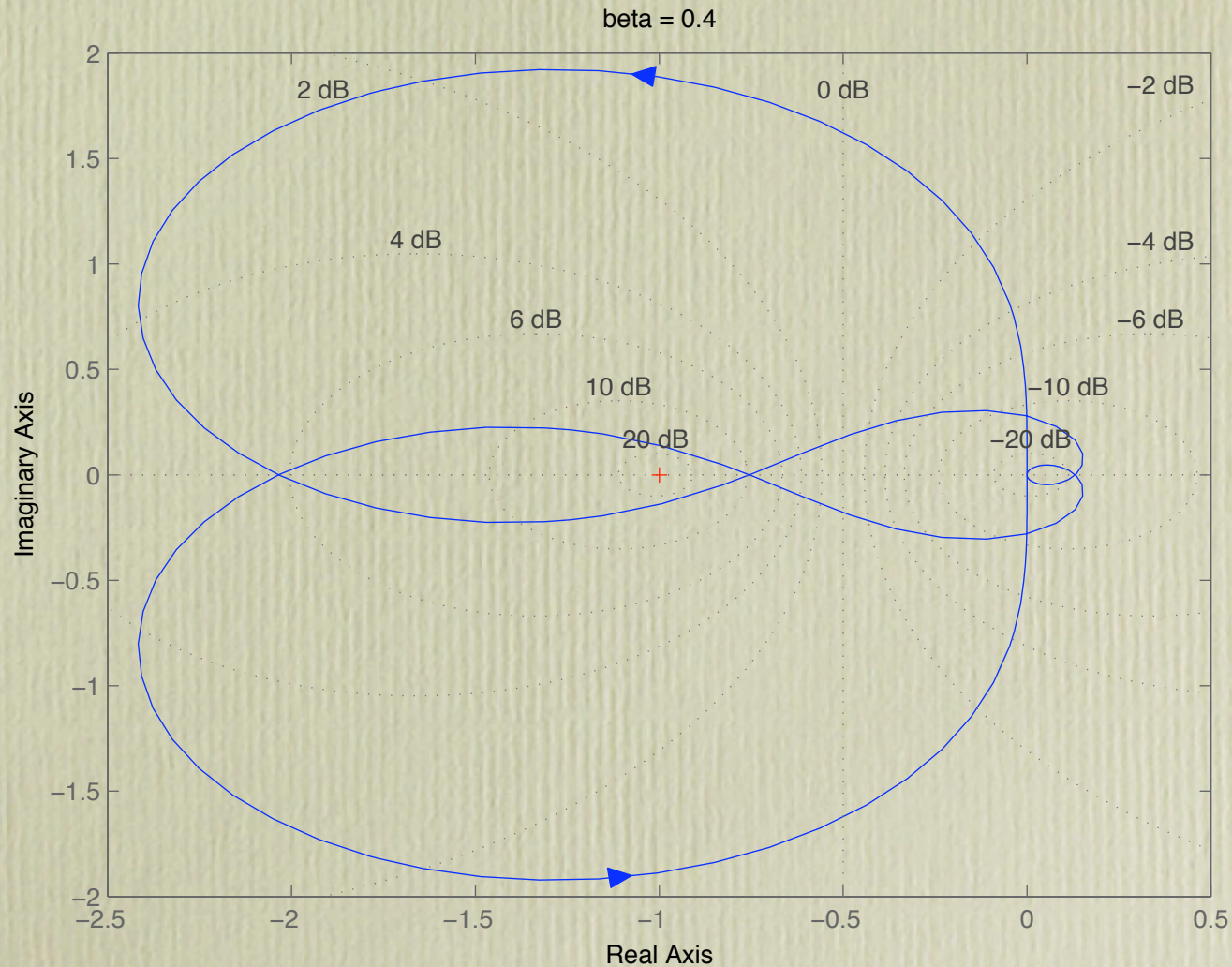
$$Z = N + P = 0 \text{ if } -2.91 < -\frac{1}{\alpha} < -0.696$$

$$0.3436 < \alpha < 1.436$$



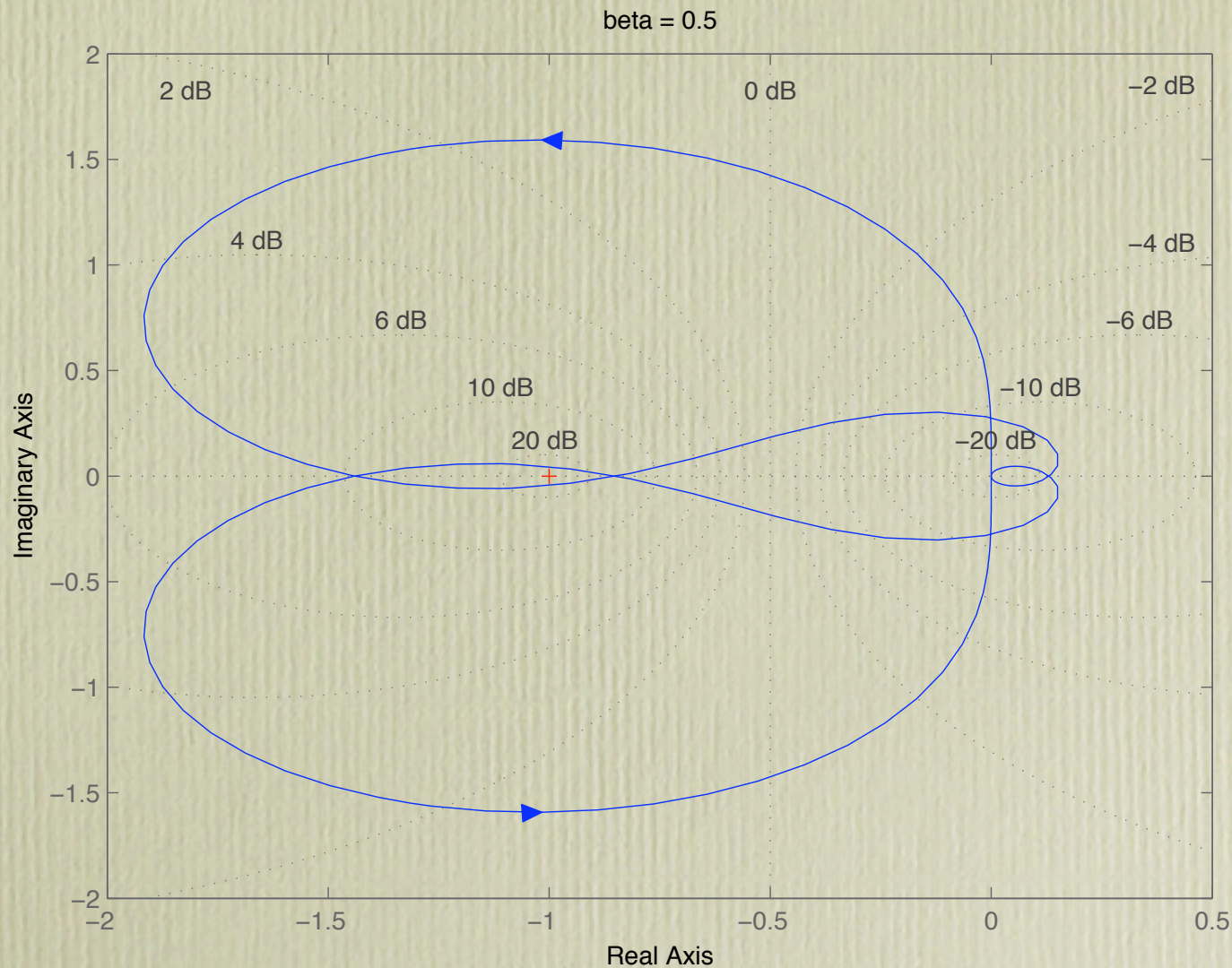
Nyquist Stability Criterion: RCP

$$\beta = 0.4; \quad 0.492 < \alpha < 1.32$$



Nyquist Stability Criterion: RCP

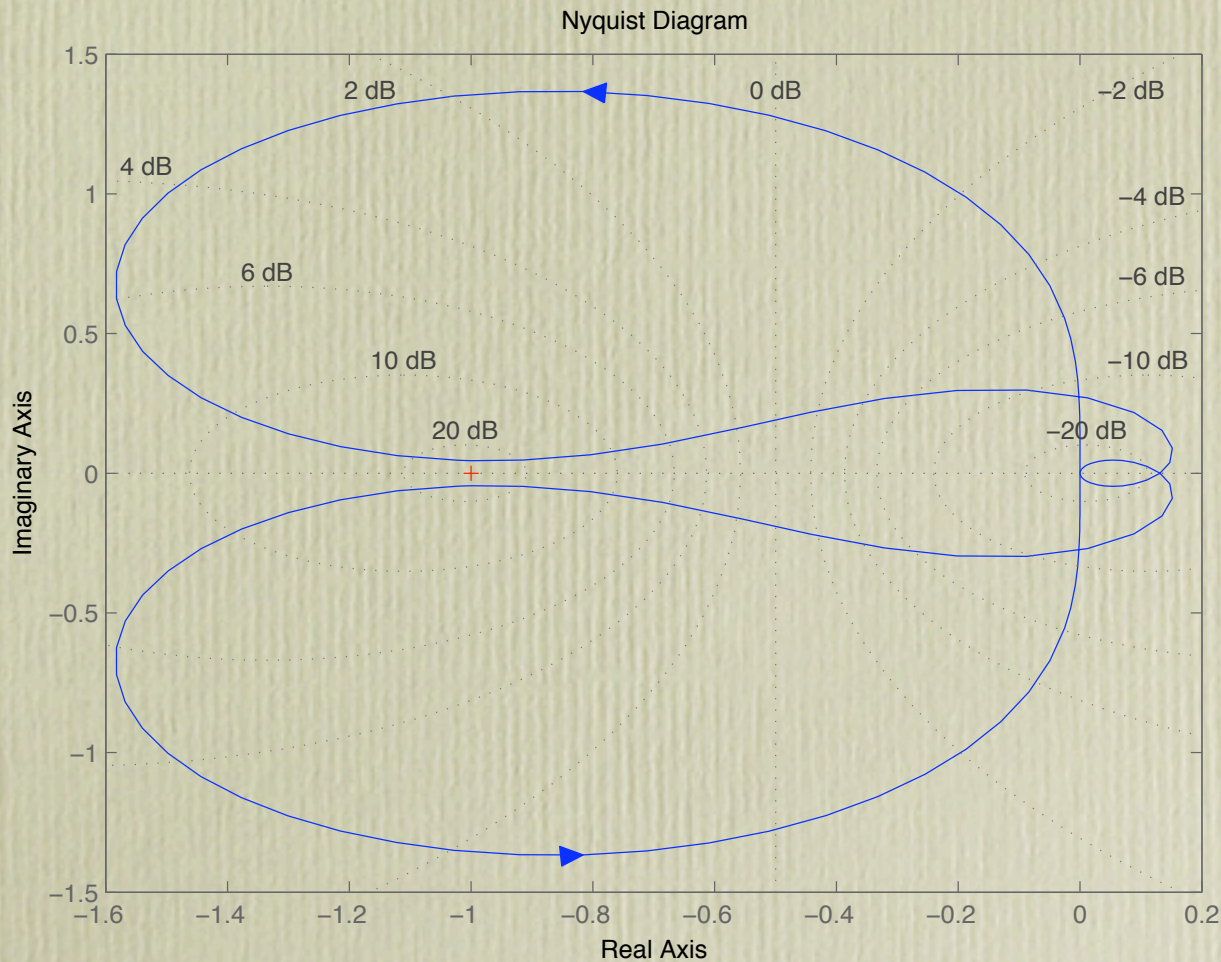
$$\beta = 0.5; 0.709 < \alpha < 1.149$$



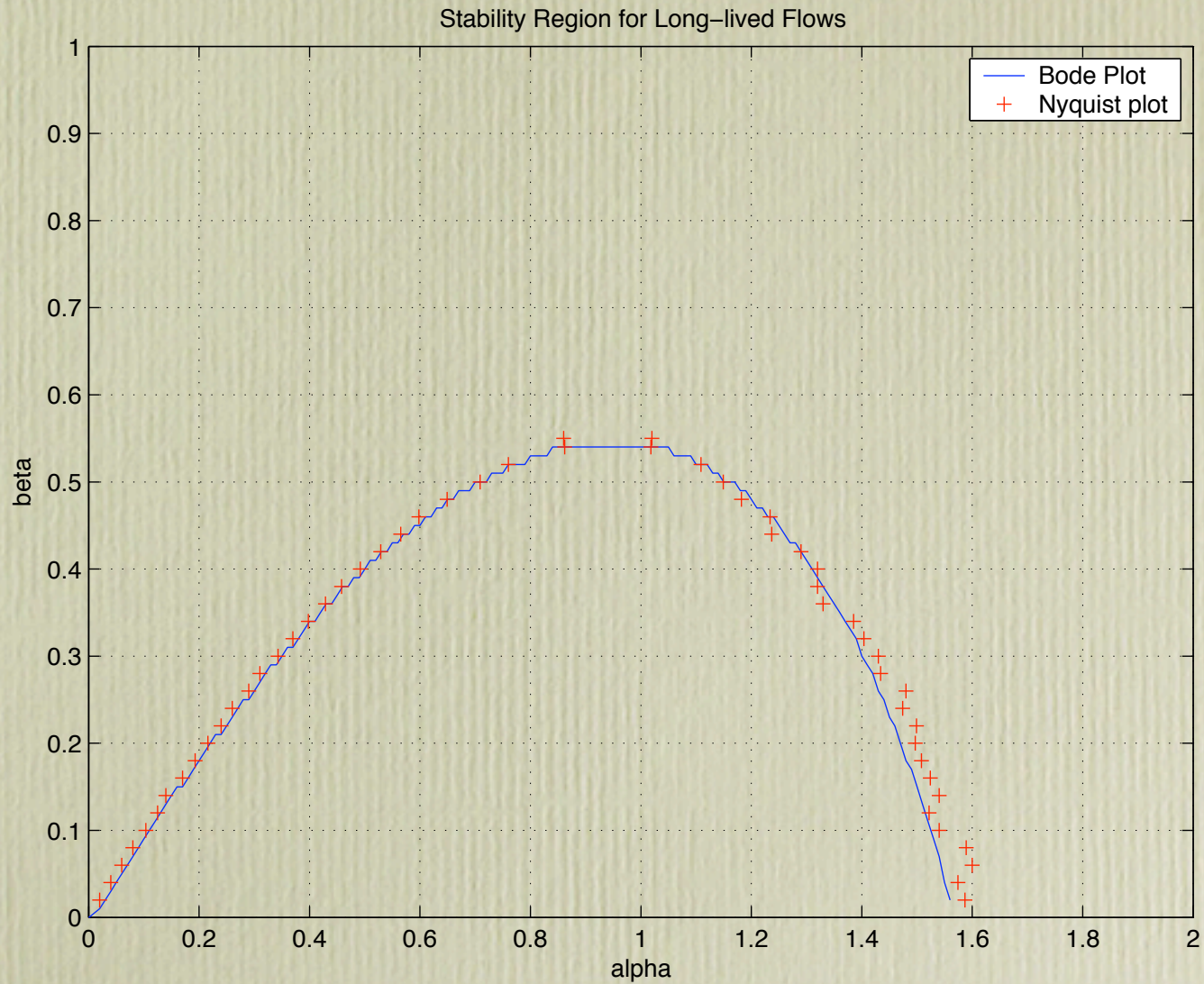
Nyquist Stability Criterion: RCP

$$\beta = 0.6, \quad P = 2, \quad Z = N + P \geq 1$$

No stability region

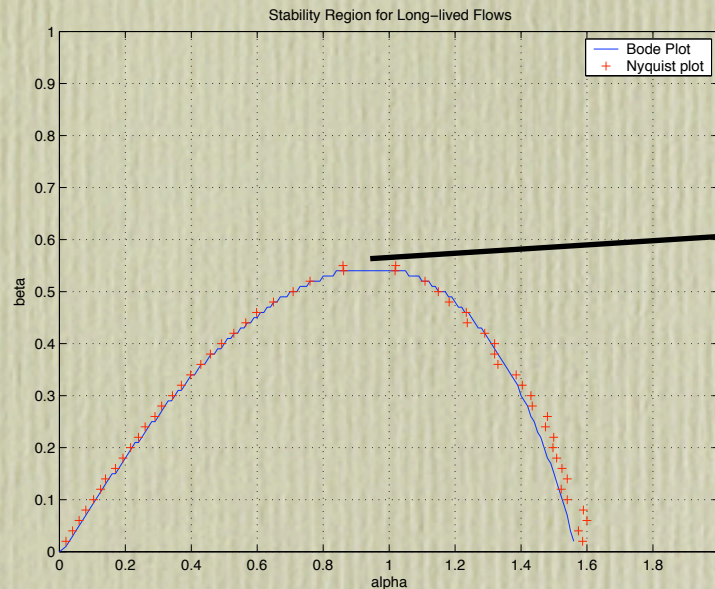


Stability Region



Stability Region

$$R(t) = R(t - T) \left[1 + \frac{\frac{T}{d} [\alpha(C - y(t)) - \beta \frac{q(t)}{d}]}{C} \right]$$

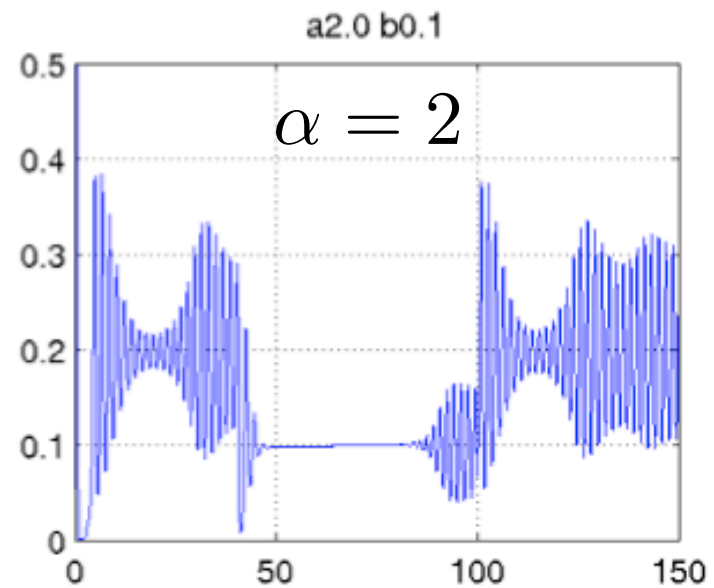
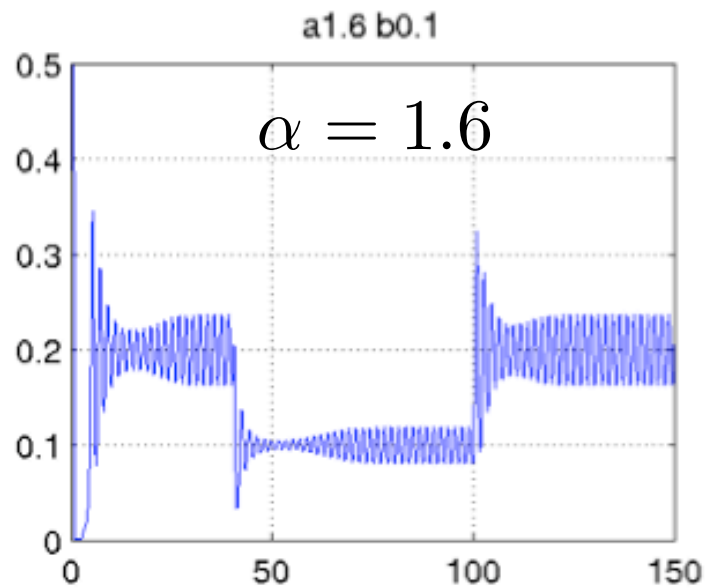
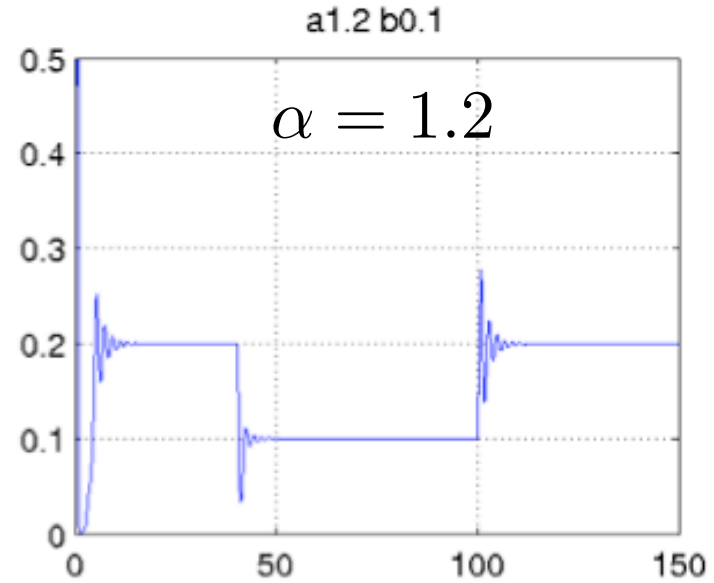
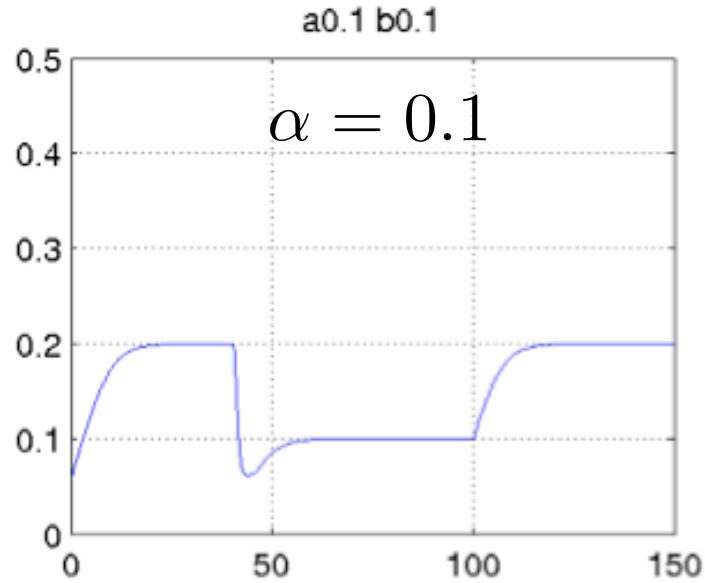


Why the cap?

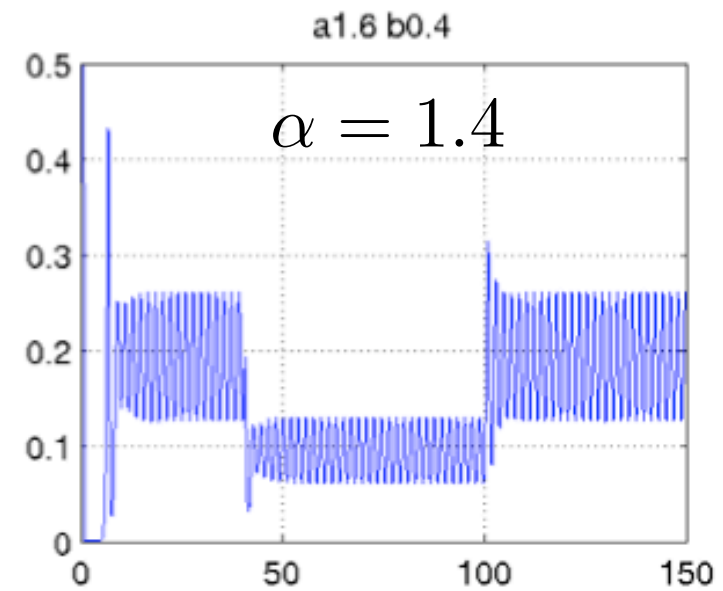
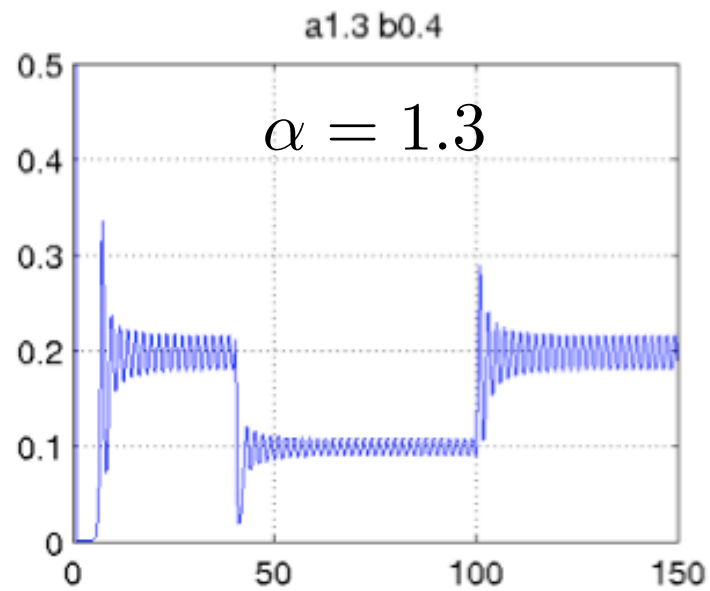
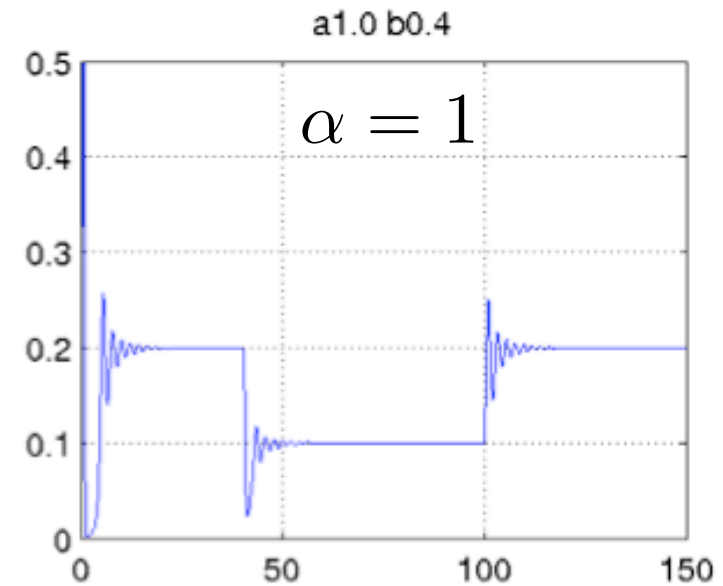
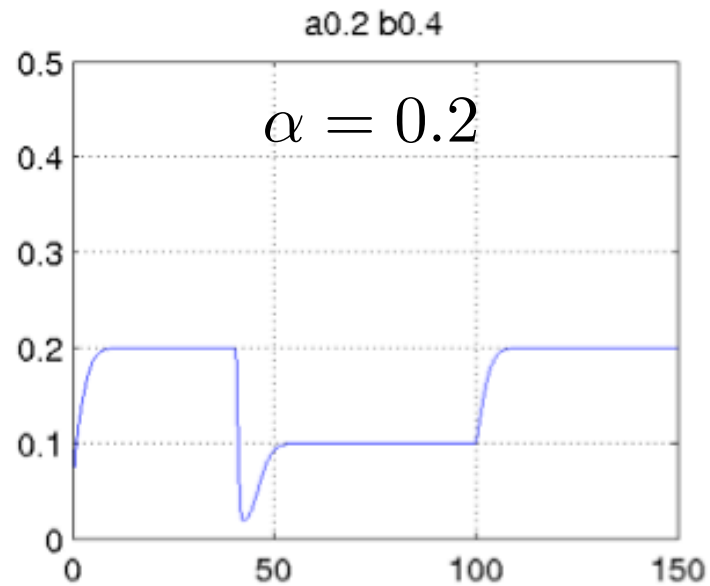
We ignored the non-linearity

$$\dot{q}(t) = \begin{cases} [y(t) - C] & \text{if } q(t) > 0 \\ [y(t) - C]^+ & \text{if } q(t) = 0 \end{cases}$$

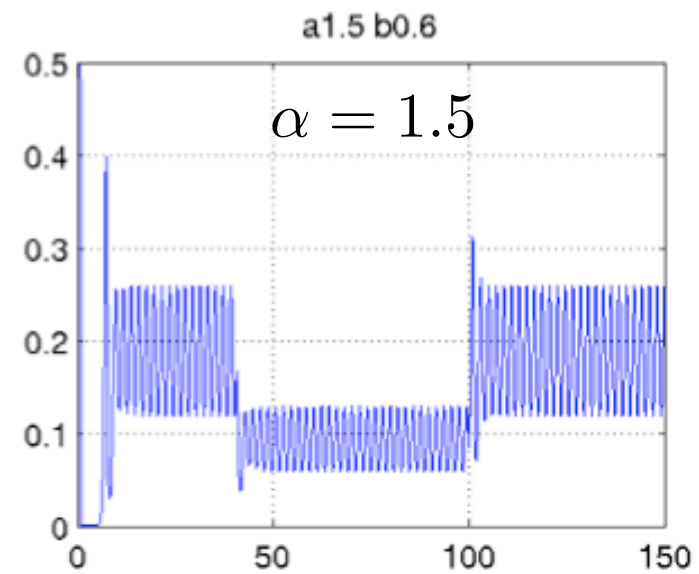
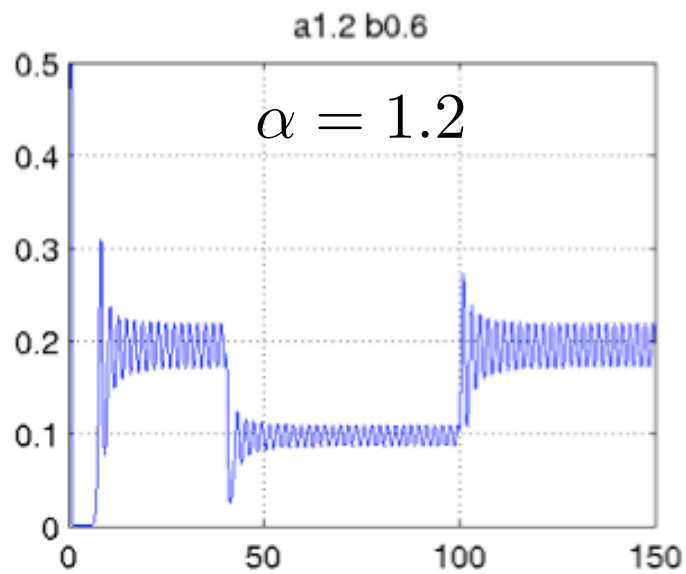
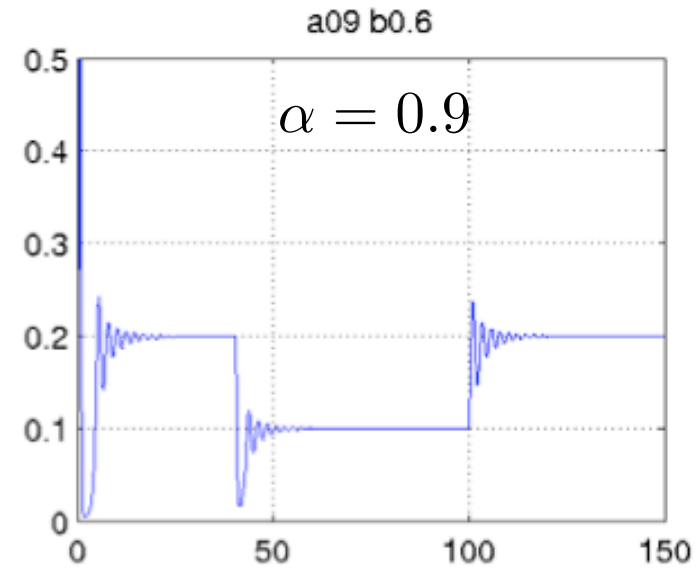
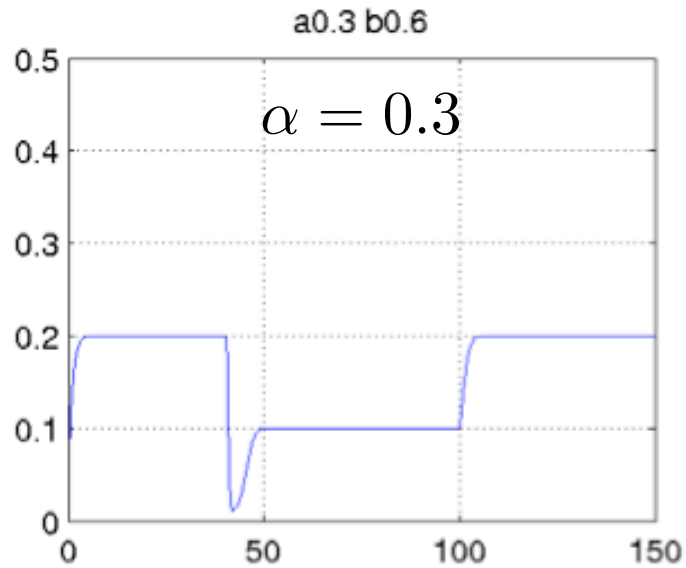
Long Flow Simulations $\beta = 0.1$



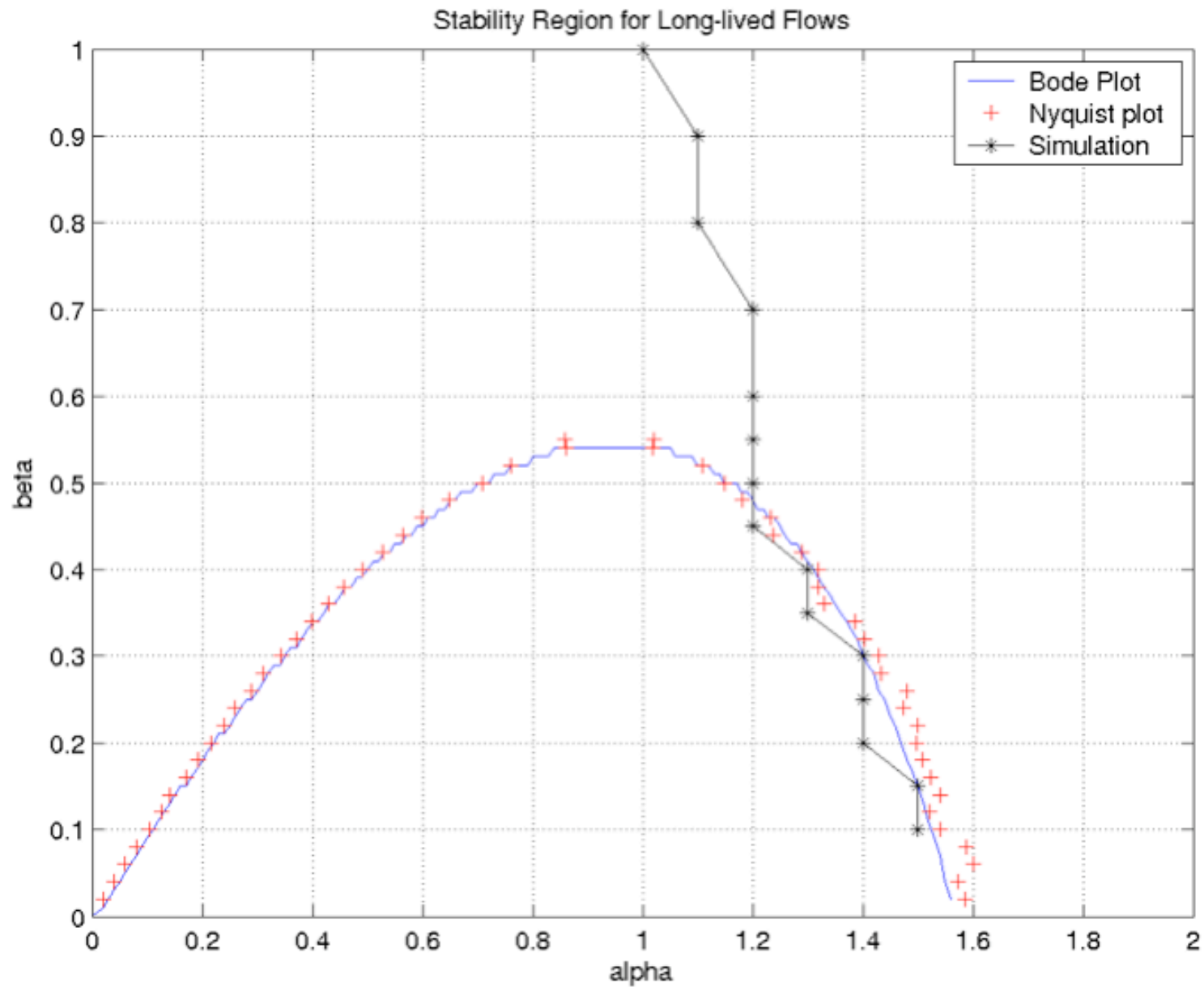
Long Flow Simulations $\beta = 0.4$



Long Flow Simulations $\beta = 0.6$



Stability Region



Ongoing Work

- Relation between stability of linearized system to that of the non-linear system ?
- Stability of the non-linear system?
- Stability of non-linear system independent of N , C , d ?
- Describing functions?